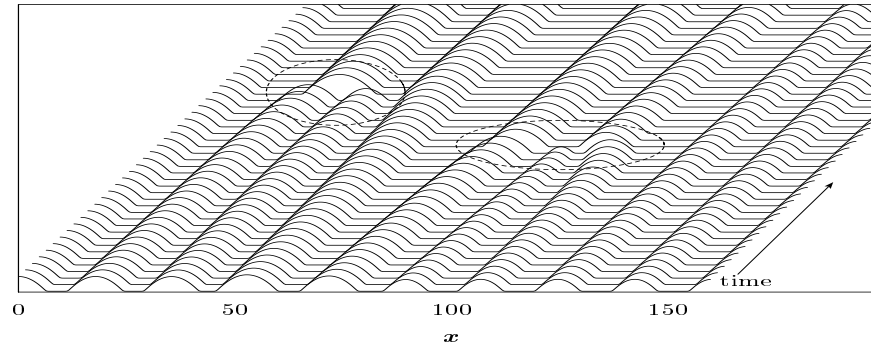


# Coarsening Dynamics of Dewetting Fluid Films

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Thomas Witelski (Duke University)

Work with Karl Glasner (U-Arizona) and Michael Gratton (Duke→Northwestern)

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- Lubrication models of viscous fluid films coating solids
  - Multi-scale dynamics controlled by *materials properties* and *surface chemistry*
  - **Dewetting**: pattern forming instabilities
    - Break-up of fluid layers into arrays of localized droplets
  - Long-time asymptotic behavior: **Coarsening**
    - Re-grouping of small droplets into larger masses
  - Deriving reduced models: lower-dimensional dynamical systems
    - Coupled ODEs for arrays of interacting droplets
  - Modes of coarsening: collapse and collision events [micro]
  - A statistical scaling law for large arrays [meso]
  - Influences at the macro-scale – a scaling transition [macro]
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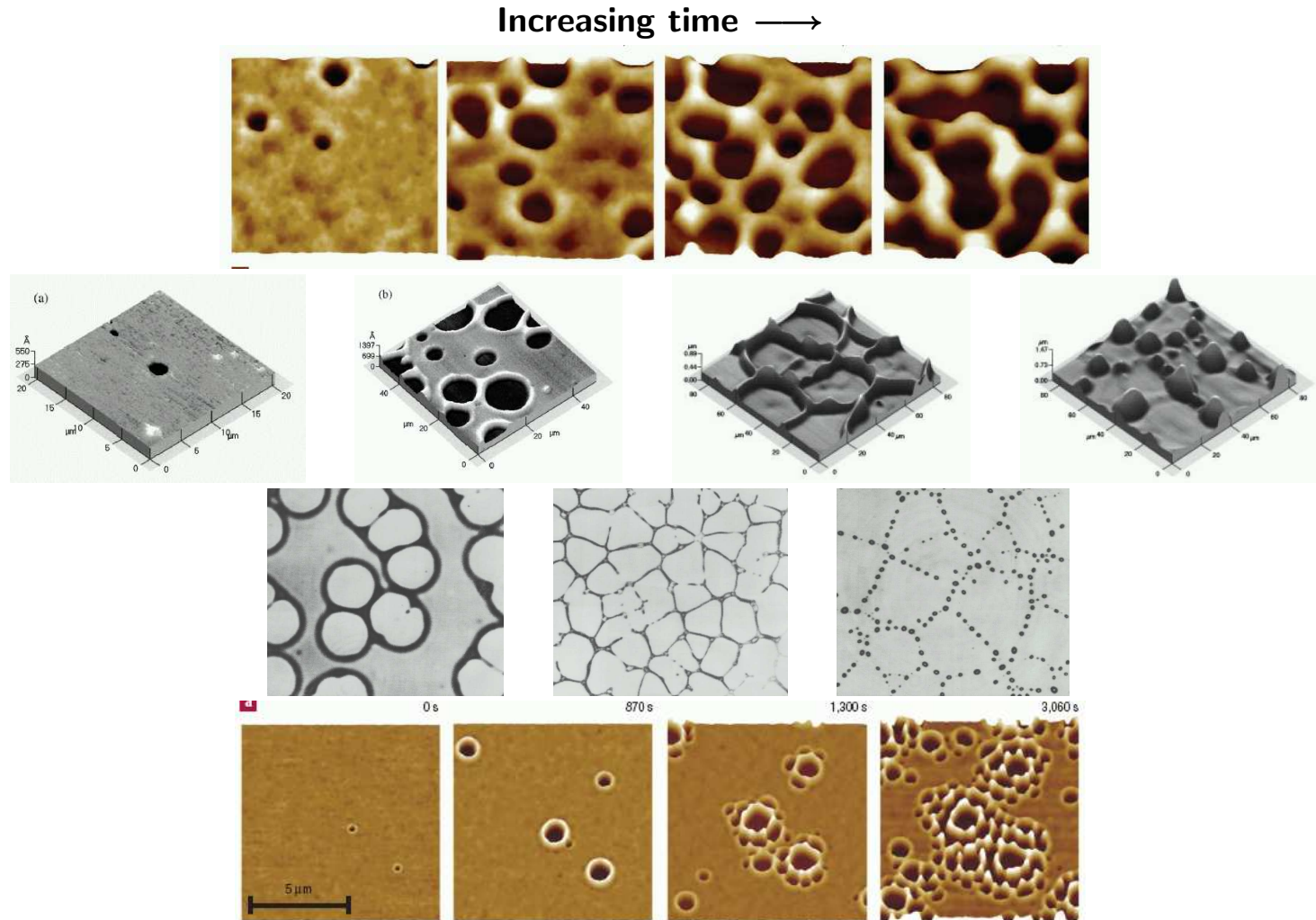
## Dewetting:

The instability of uniform coatings of viscous fluids on solid surfaces.

Very undesirable for most applications (**painting, printing, microfluidic devices**).

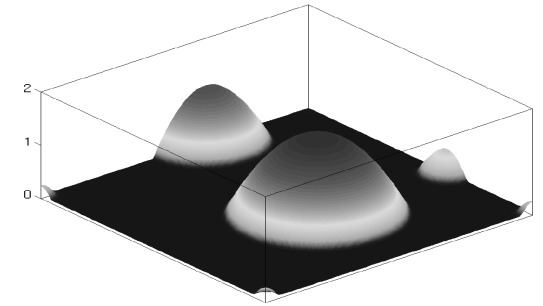
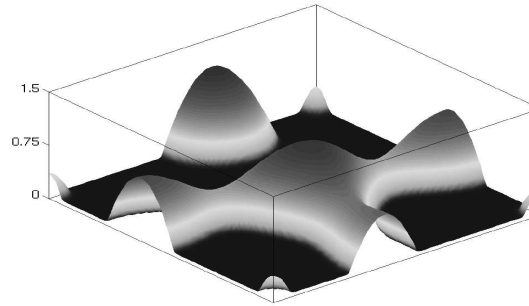
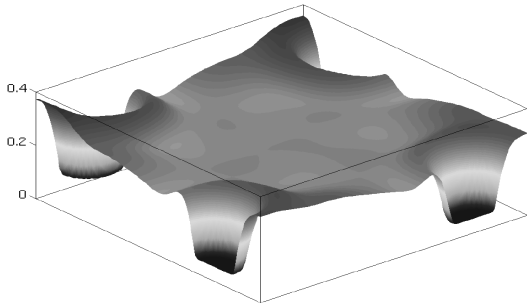
Observed non-uniformities in experiments for polymer films on SiO

Holes  $\rightarrow$  complex patterns (fractals?)  $\rightarrow$  polygonal ridges  $\rightarrow$  droplets



# Dewetting

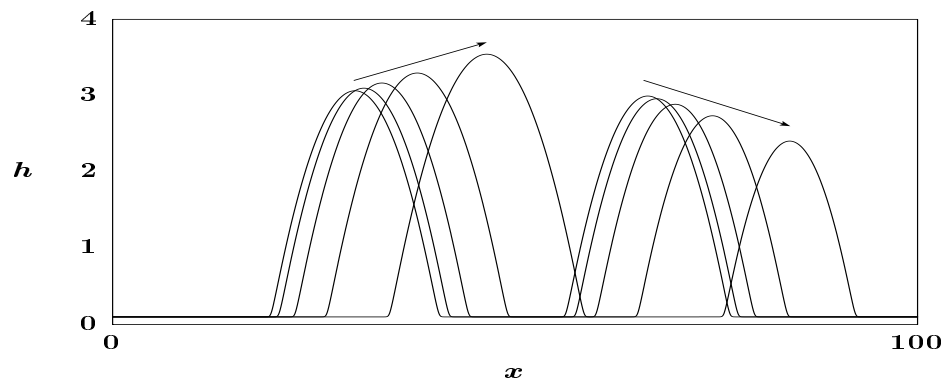
- Early stages: linear instability, rupture, and drop formation. Phase separation of droplets and ultra-thin films, called spinodal dewetting.



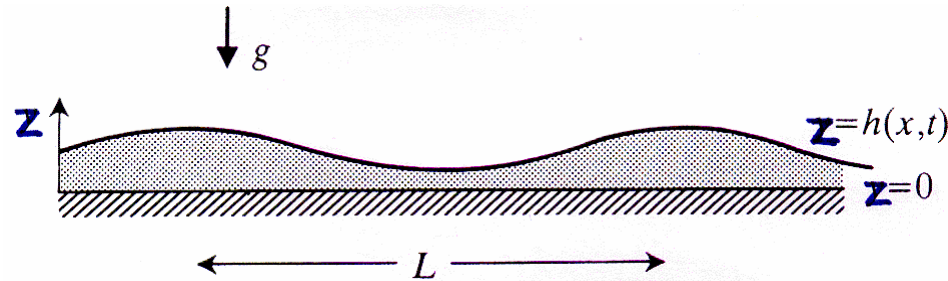
- Later stages: each drop is locally near equilibrium, but the collection is globally far-from-equilibrium.

Produces slow, quasi-steady evolution: coarsening dynamics.

Energetically driven successive rearrangements: evolution of drop positions and masses.



# Classical lubrication models for fluid dynamics of thin films



Fluid volume:  $0 \leq x, y \leq L \quad 0 \leq z \leq H(x, y, t)$

- Navier-Stokes eqns: velocity field  $\vec{u}$  for viscous incompressible flow

$$\text{Re} \frac{D\vec{u}}{Dt} = -\nabla p + \nabla^2 \vec{u} \quad \nabla \cdot \vec{u} = 0$$

- Low Reynolds number creeping flow limit (Stokes flow)

$$\vec{0} = -\nabla p + \nabla^2 \vec{u} \quad \nabla \cdot \vec{u} = 0$$

- Asymptotics in the aspect ratio,  $\delta = H/L \rightarrow 0$
- Boundary conditions at  $z = 0$  and  $z = h(x, y, t)$

## The Reynolds lubrication equation in 1-D

$$\boxed{\frac{\partial h}{\partial t} = \frac{\partial}{\partial x} \left( h^3 \frac{\partial p}{\partial x} \right)}$$

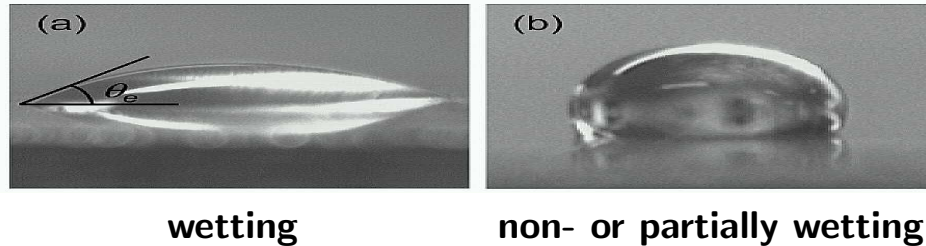
$h = h(x, t)$  : film thickness

$J = -h^3 \partial_x p$  : mass flux

$p = p[h]$  : dynamic pressure

## Dominant physics for thin viscous coatings:

- Neglect gravity for very thin films\*
- Strong surface tension
- Physio-chemical fluid-solid intermolecular interactions (Van der Waals, ...) determining wettability and contact angles



## The pressure model

$$\left. \begin{array}{l} \text{Disjoining pressure } \Pi(h) \\ \text{Linearised surface tension} \end{array} \right\} \rightarrow p = \Pi(h) - \frac{\partial^2 h}{\partial x^2}$$

## The dewetting model

$$\frac{\partial h}{\partial t} = \frac{\partial}{\partial x} \left( h^3 \frac{\partial}{\partial x} \left[ \Pi(h) - \frac{\partial^2 h}{\partial x^2} \right] \right)$$

## “Balanced” Van der Waals forces [L. W. Schwartz et al, others]

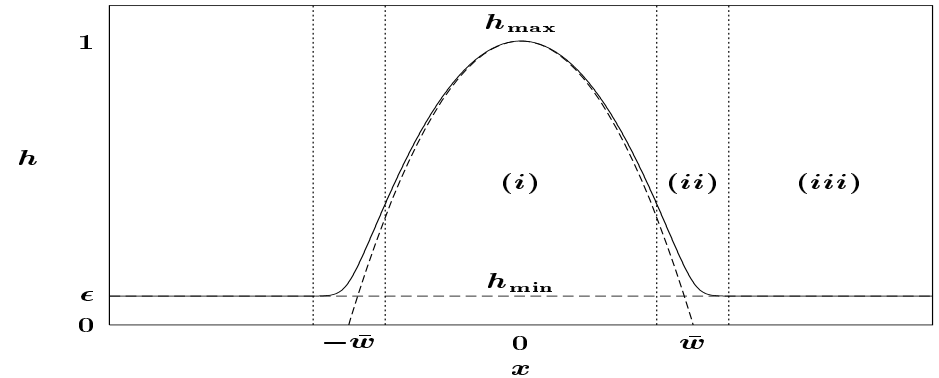
$$\Pi(h) = \frac{1}{\epsilon} \left( \frac{\epsilon}{h} \right)^3 \left[ 1 - \left( \frac{\epsilon}{h} \right)^m \right] \quad m \geq 1$$

$h = \epsilon > 0$ : sets scale for equilibrium ultra-thin film (UTF)

## Localized steady state solutions: 1-D droplets, constant $p \equiv P$

$$h = H(x; P)$$

$$\frac{d^2 H}{dx^2} = \Pi(H) - P$$



## Properties and asymptotic structure for $\epsilon \rightarrow 0$

(i) Droplet core: parabolic profile for  $|x| \ll \bar{w}$

$$H(x) \sim \frac{1}{2} P (\bar{w}^2 - x^2)$$

(ii) Contact line: matching determines width and contact angle

$$\left. \frac{dH}{dx} \right|_{x \rightarrow -\bar{w}} \equiv A = \sqrt{2|U(\epsilon)|} \implies \bar{w}(P) = \frac{A}{P}$$

Droplet mass  $\sim$  mass of core region:  $\bar{m}(P) = \int_{-\bar{w}}^{\bar{w}} H(x) dx \sim \frac{2A^3}{3P^2}$

(iii) Ultra-thin film: in outer region  $|x| \gg \bar{w}$ :  $H \sim h_{\min}(P) \sim \epsilon + \epsilon^2 B P$

## Dynamics of a single droplet: Model IBVP problem

$$\frac{\partial h}{\partial t} = \partial_x (h^3 \partial_x [\Pi(h) - \partial_{xx} h]) \quad -L \leq x \leq L$$

Droplet IC:  $h(x, 0) = H(x; P_0)$

Imposed flux BCs:  $J \equiv -h^3 \partial_x p \quad J(-L) = \sigma \tilde{J}_- \quad J(L) = \sigma \tilde{J}_+$

- Small fluxes set a slow timescale,  $\sigma \ll 1$ :  $\tau = \sigma t$
- Fluxes drive slow evolution

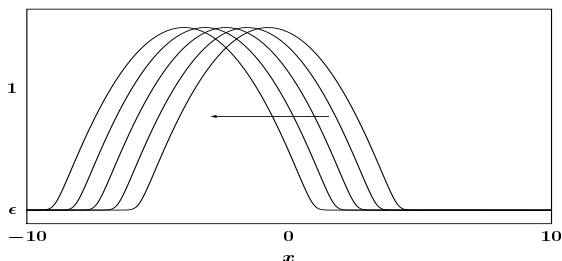
$$h(x, t) = \boxed{H(x - X(\tau); P(\tau))} + \sigma h_1(x, \tau) + O(\sigma^2)$$

$X(\tau)$ : position,  $X(0) = 0$        $P(\tau)$ : pressure,  $P(0) = P_0$

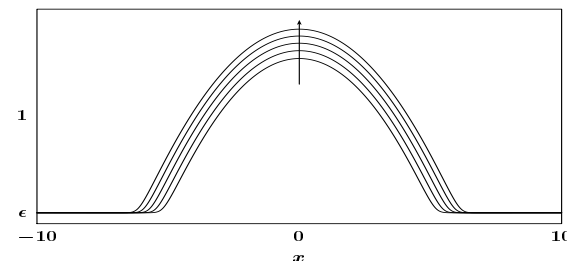
- Two evolution eqns per drop derived from the solvability conditions for  $h_1$

$$\boxed{\frac{dX}{dt} = -C_X(P)(J_+ + J_-) \quad \frac{dP}{dt} = C_P(P)(J_+ - J_-)}$$

Drift mode :  $J_+ = J_-$

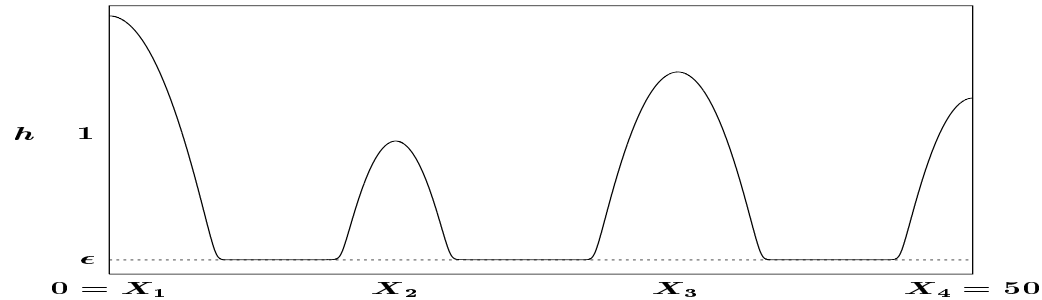


Growth mode :  $J_+ = -J_-$

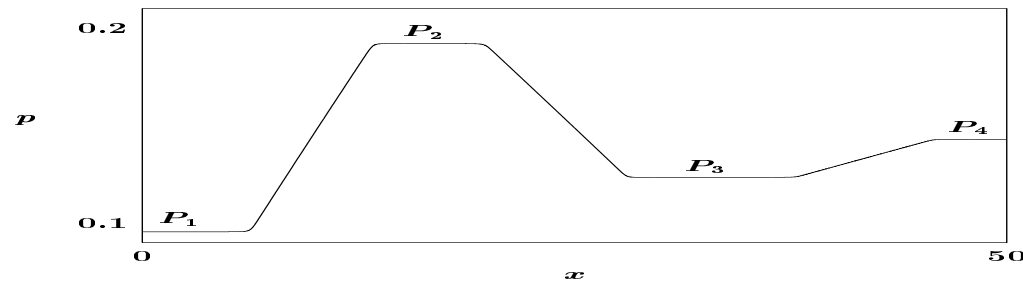


# Dynamics of arrays of droplets

- A set of drops at positions  $X_k$  for  $k = 1, 2, \dots, N$



- Each isolated droplet has a locally uniform pressure  $P_k$



- Differences in the pressures will generate fluxes in the UTF
- UTF layer between drops: almost flat, quasi-static.

The flux is the gradient of a potential function

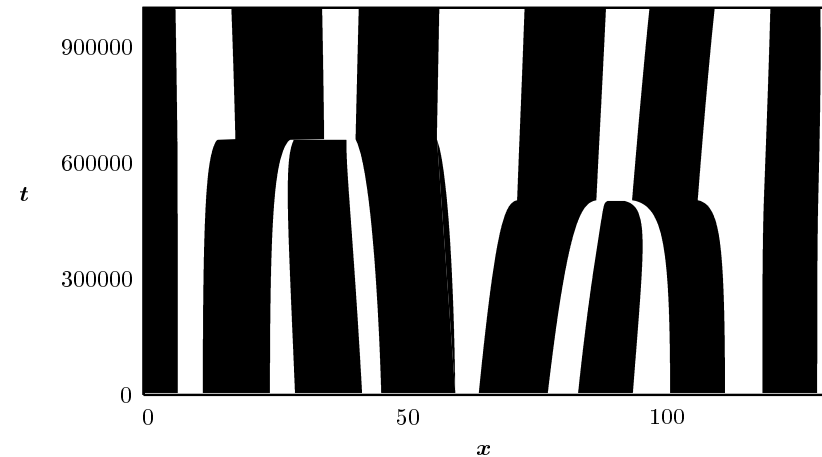
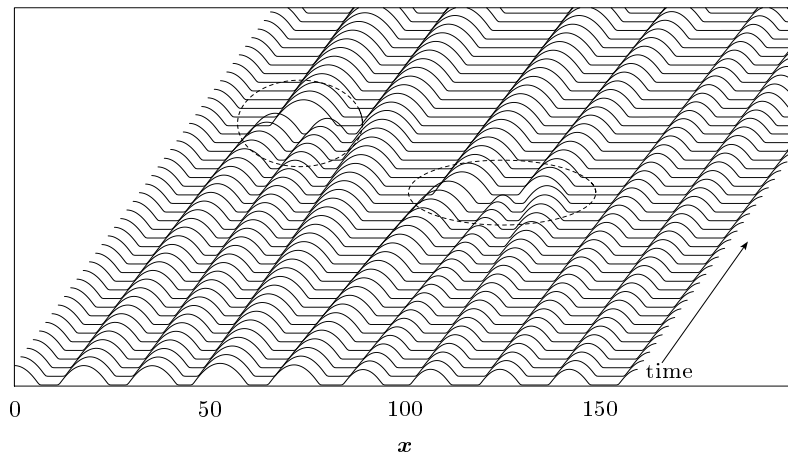
$$J = -h^3 \Pi'(h) h_x \equiv -\partial_x V(h).$$

- Flux between neighbors:  $J_{k,k+1} = -\frac{V(h_{\min}(P_{k+1})) - V(h_{\min}(P_k))}{[X_{k+1} - \bar{w}(P_{k+1})] - [X_k + \bar{w}(P_k)]}$
- Array evolution equations:

$$\frac{dP_k}{dt} = C_P(P_k)(J_{k,k+1} - J_{k-1,k}) \quad \frac{dX_k}{dt} = -C_X(P_k)(J_{k,k+1} + J_{k-1,k})$$

# Dynamics of arrays of droplets (II)

PDE simulations: droplet movement “world-lines”



Observe two classes of *coarsening* events:

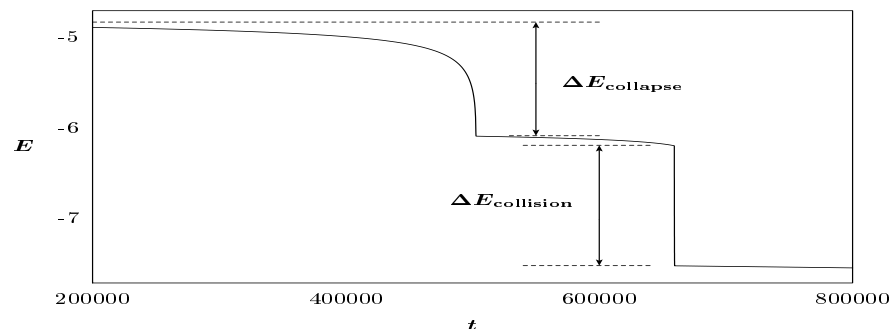
● Collapse: 1 drop  $\rightarrow$  0 drops

● Collision: 2 drops  $\rightarrow$  1 drop

Dissipation of energy

$$E(h) = \int U(h) + \frac{1}{2} h_x^2 dx \quad \rightarrow \quad \frac{dE}{dt} = - \int h^3 p_x^2 dx \leq 0$$

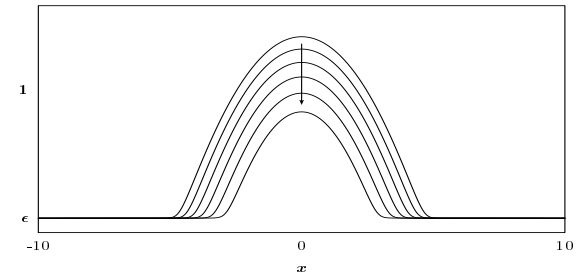
Favors fewer larger drops over many smaller ones  $\rightarrow$  coarsening



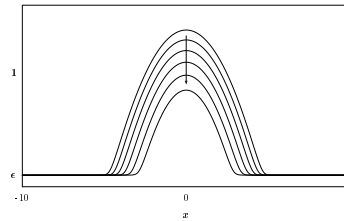
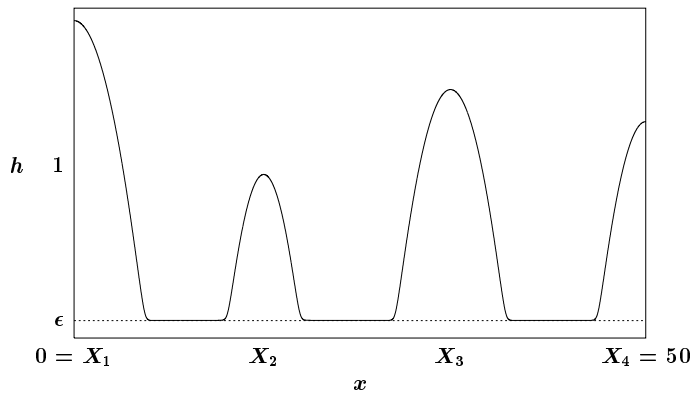
**Collapse:** small drops “melt” into the surrounding film as  $\text{Mass}_k \rightarrow 0$

$\Rightarrow P_k \nearrow p_{\max}$  for at finite time  $t \rightarrow t_c$ :

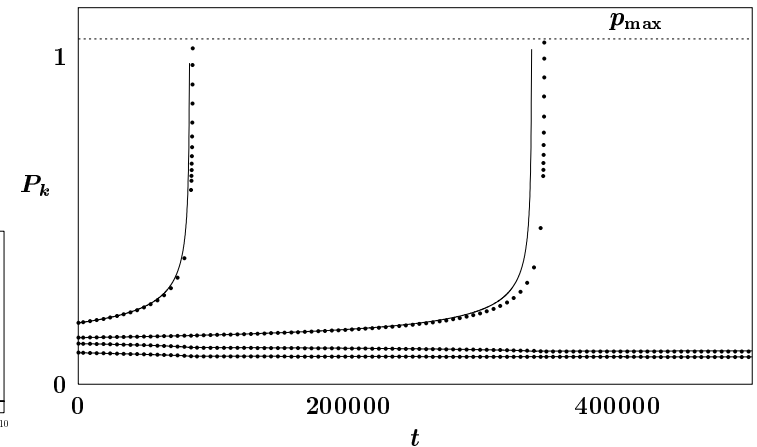
$$\frac{dP_k}{dt} \propto P_k^3 \rightarrow P_k(t) = O([t_c - t]^{-1/3})$$



## Initial Conditions



## Evolution of Pressures



[PDE results (dots), ODE model (curves)]

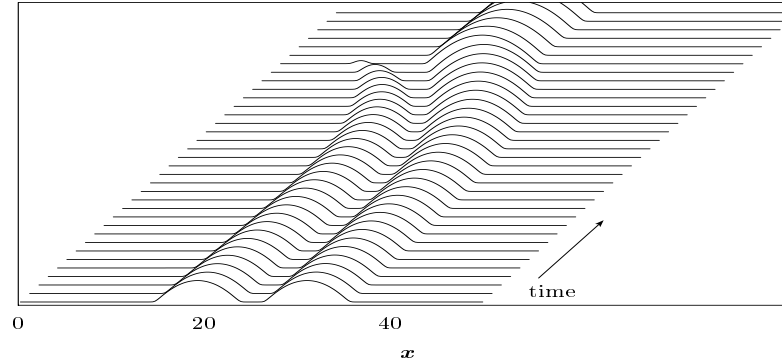
- Smaller drops tend to collapse first
- Larger drops tend to grow a little and become more stable  
a la “*Survival of the Fattest*” in other coarsening

[Rubinstein & Sternberg, 1992]

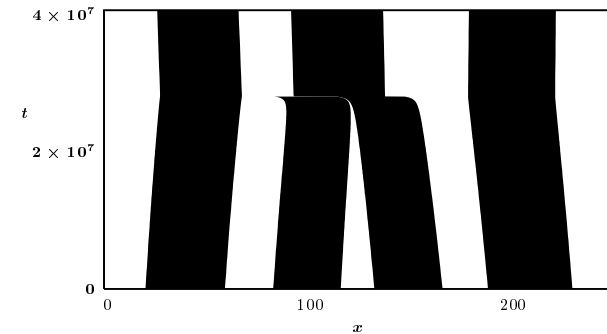
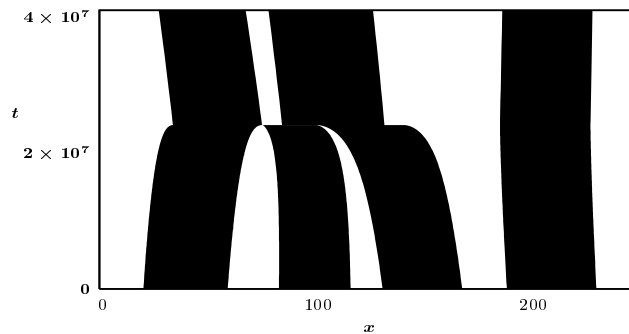
**Collision:** Merging of drops as separation distance vanishes,

$$D_{k,k+1} \equiv [X_{k+1} - \bar{w}(P_{k+1})] - [X_k - \bar{w}(P_k)] \rightarrow 0$$

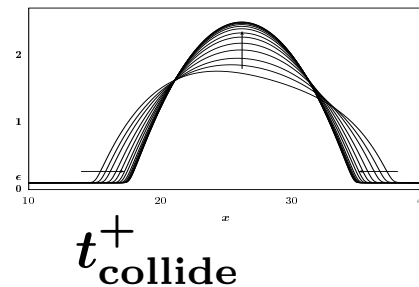
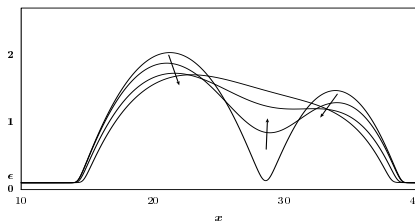
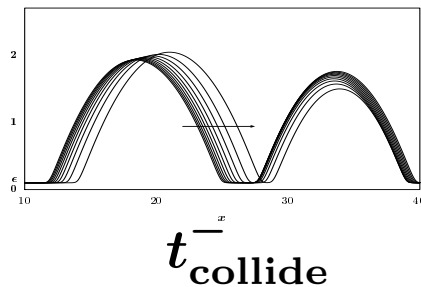
- Collision requires the interactions of 3 or more drops, 2 drops alone will NOT collide



- But, generically only 2 drops can merge at a time [Analysis of 4-drop ODE model]



- Very brief far-from-equilibrium dynamics of merging: 2 → 1 drops



## Coarsening dynamical system =

Near-equilibrium ODE system + Coarsening rules

[Krüg et al (2002), Watson et al (2003), others]

- For  $O(1) \ll t < t_1$

$$\left\{ \frac{dX_k}{dt} = \dots \quad \frac{dP_k}{dt} = \dots \right\} \quad k = 1, 2, \dots, N$$

- At  $t = t_1^-$ , the soln of ODEs satisfies a detection condition. Stop the ODEs. Enforce collision or collapse. Create new IC's at  $t = t_1^+$  for remaining  $(N - 1)$  drops via coarsening rules for collision or collapse:

$$\left\{ X_k(t_1^+) = \dots \quad P_k(t_1^+) = \dots \right\} \quad k = 1, 2, \dots, N - 1$$

- For  $t_1^+ \leq t < t_2$

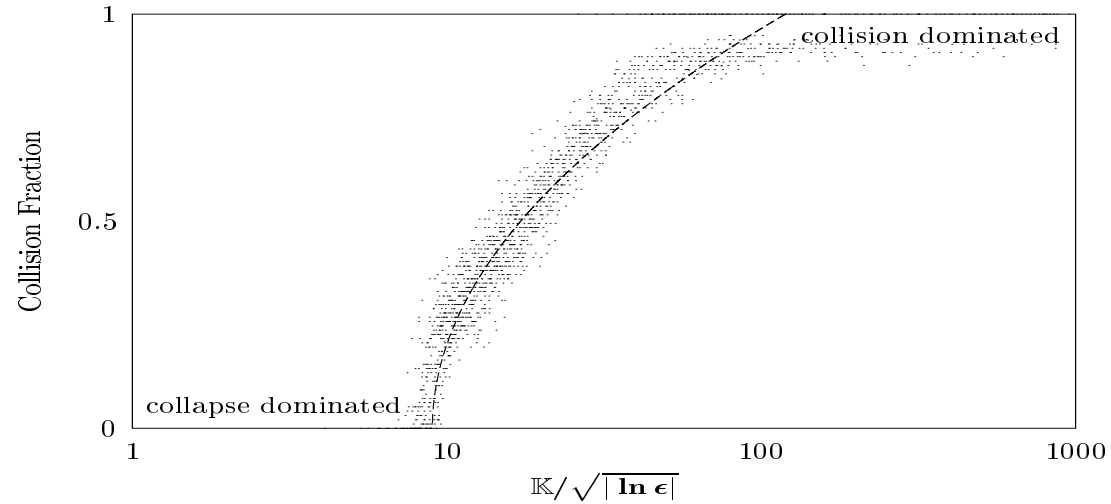
$$\left\{ \frac{dX_k}{dt} = \dots \quad \frac{dP_k}{dt} = \dots \right\} \quad k = 1, 2, \dots, N - 1$$

- At  $t = t_2^-$  another coarsening event detected...  
and repeat,  $N \rightarrow (N - 1) \rightarrow (N - 2) \rightarrow \dots$



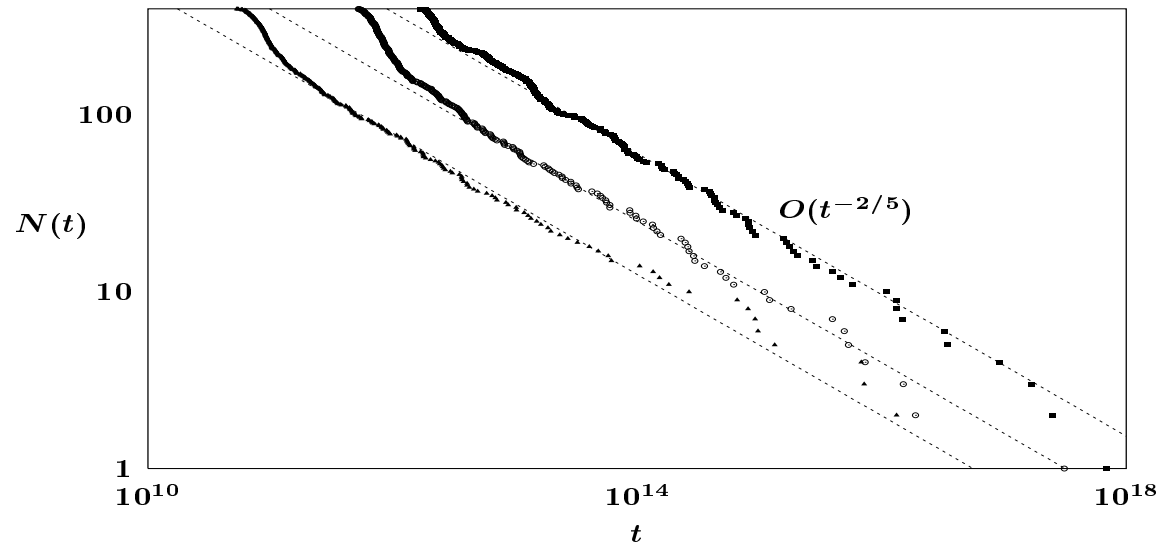
## Coarsening in large arrays

Collision fraction: fraction of events that are collisions



Independent of  $\mathbb{K}$ , coarsening rate via assumptions of local, independent events:

$$N(t) \sim O(t^{-2/5}) \quad t \rightarrow \infty$$



## Coarsening in macroscopically large arrays

Imagine starting with

- $N_0 = 10^{100}$  tiny parabolic drops (gravity is negligible)
- Wait for a looooooong time, coarsening eventually leads to:

The final state is a single drop:

- It containing the entire mass of the system
- For large  $N_0$ , it would be implausibly big and tall
- Tall  $\implies$  violates “gravity negligible” assumption
- Include a gravity term:

$$\frac{\partial h}{\partial t} = \frac{\partial}{\partial x} \left( h^3 \frac{\partial}{\partial x} \left[ \Pi(h) - \frac{\partial^2 h}{\partial x^2} + \alpha h \right] \right) \quad \alpha = \frac{\text{Gravity}}{\text{VdW}}$$

$$\alpha \approx 10^{-9} - 10^{-7}$$

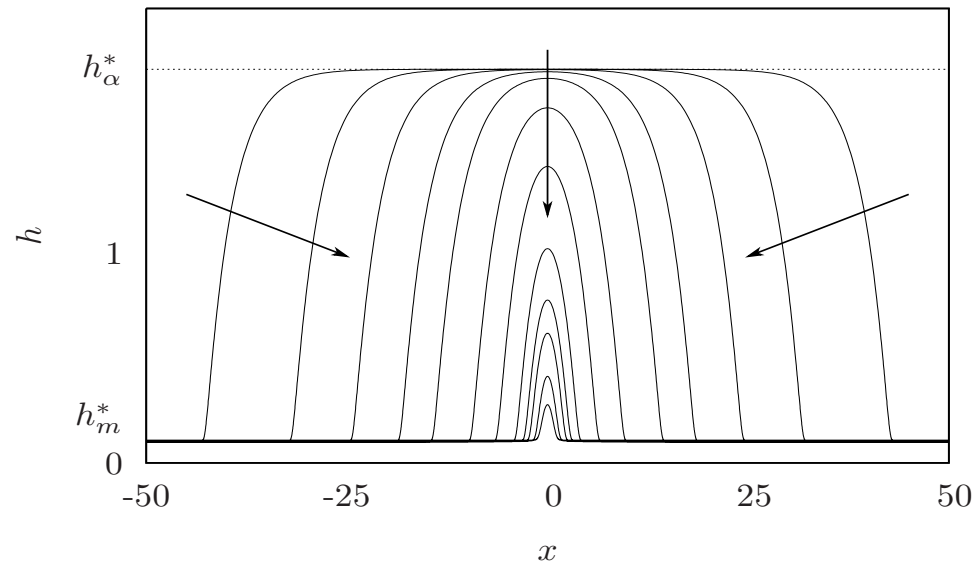
$\alpha h = O(1)$  when  $h$  becomes large...

## Coarsening with gravity (I)

$$\frac{d^2 H}{dx^2} = \Pi(H) + \alpha H - P$$

- Family of droplet solutions has two limiting forms
- For small mass ( $m \rightarrow 0$ ) drops are small and still take parabolic form
- For large mass ( $m \rightarrow \infty$ ) gravity sets a maximum height,  $h_\alpha$ .

Height saturates, drops become wide “pancakes”, “puddles” or “mesas”

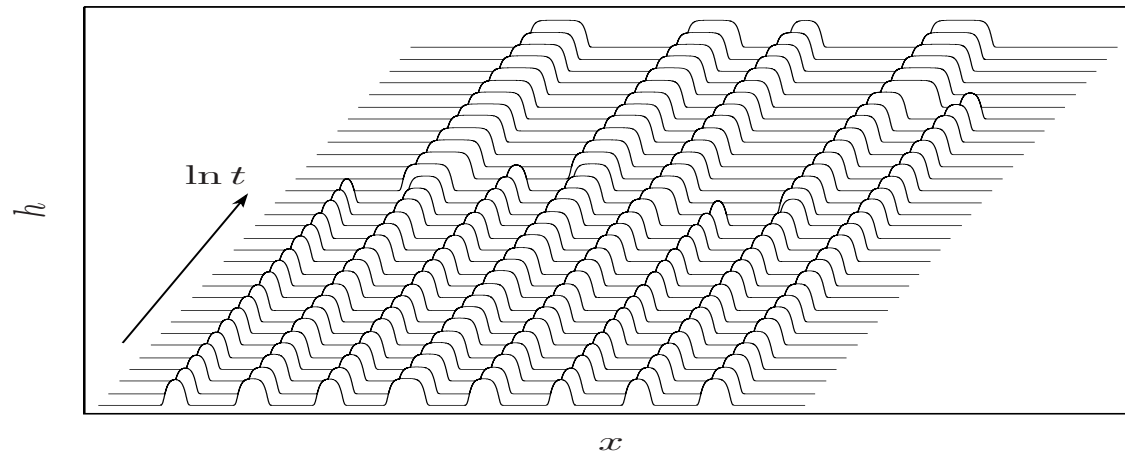


- Define a “Mesa parameter”:  $M = m\alpha$

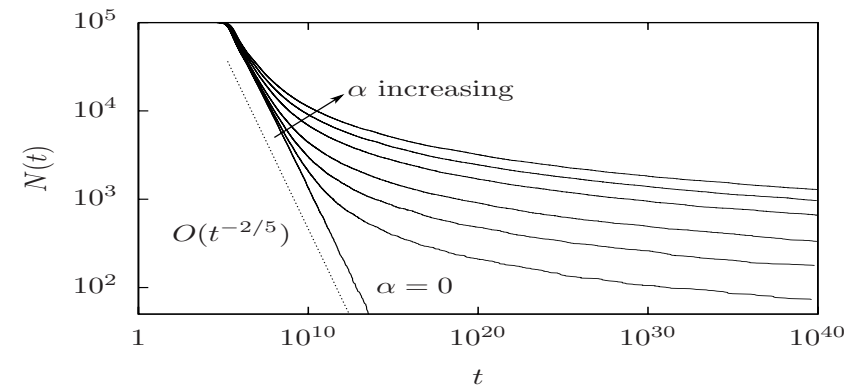
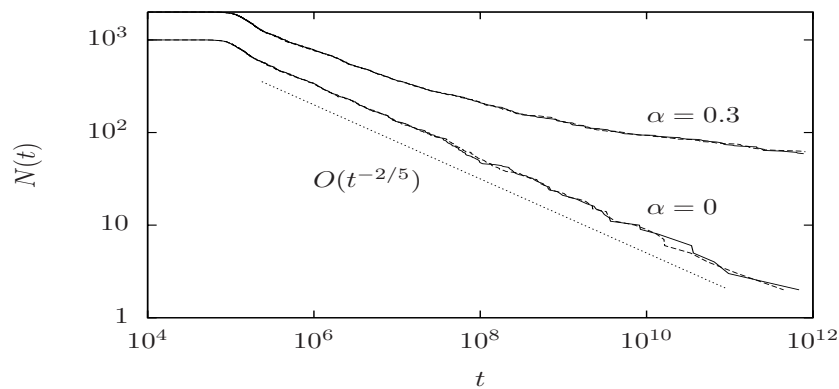
$M \ll 1$  : parabolic drop

$M \gg 1$  : mesa drop

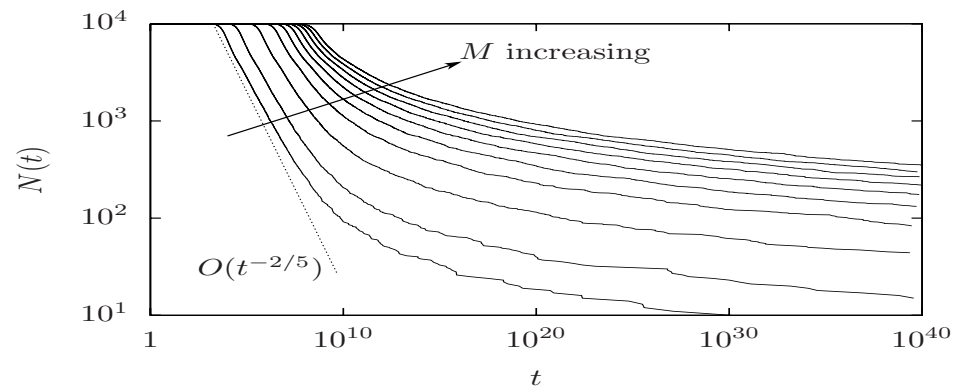
## Coarsening with gravity (II)



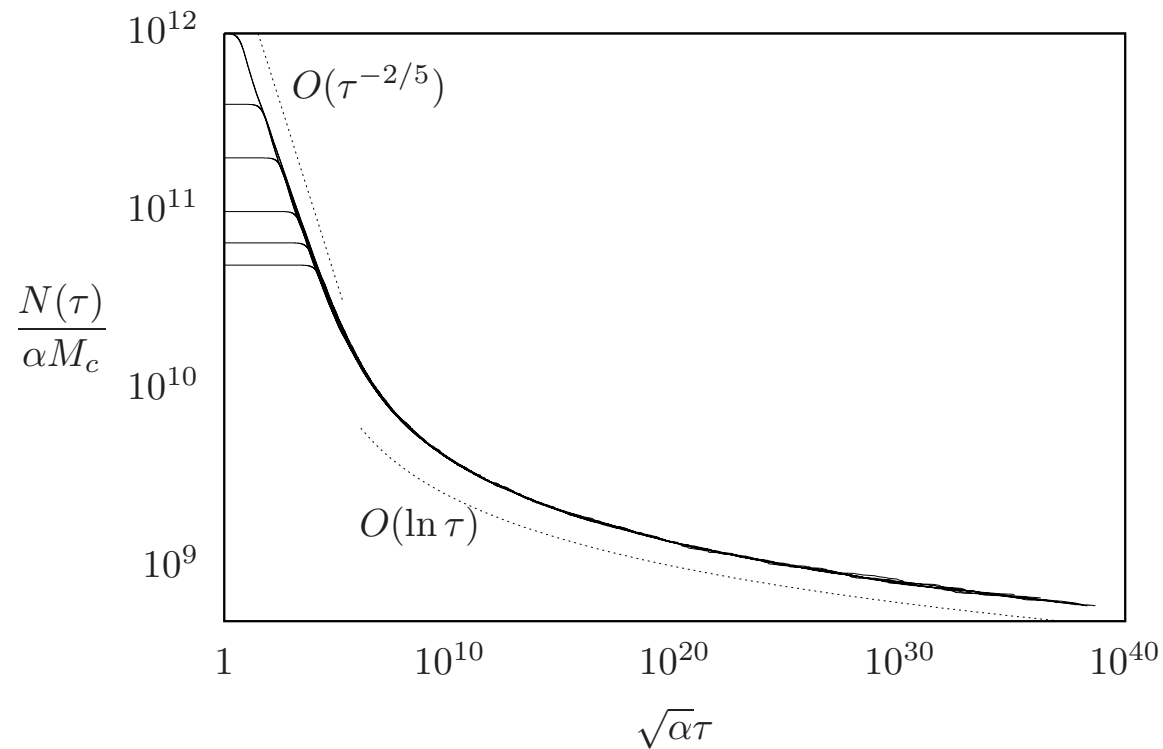
- Increasing gravity ( $\alpha$ ) slows the rate of coarsening



- Similarly, by increasing initial drop size, with  $\alpha$  fixed



Coarsening with gravity (III) With appropriate rescaling, can collapse all to:



A transition to a slower gravity-dominated coarsening law:

$$N(t) \approx O(t^{-2/5}) \quad \rightarrow \quad N(t) \approx O(1/\ln t)$$

## Further studies

- New work on the rigorous estimates for an upper bound on the coarsening rate by Otto, Rump & Slepčev as a gradient flow in the Wasserstein metric (optimal transport theory)
- Further work
  - Rigorous bounds on short-time nonlinear instabilities (with H.-J. Hwang (2005))
  - Influence of evaporation or condensation (breaking of conservation of mass)
  - Influence of convective driving forces (symmetry breaking via gravity or thermal forcing)
  - Influence of thermal effects (stochastic forcing) (a la Stone et al (2005), Grün et al (2005))
  - Influence of slip conditions (with Münch & Wagner (2005))
  - Further study of intermediate-time behavior connecting rupture to hole-growth regimes and extensions to 2-D
  - Further studies of coarsening in unstable discrete dynamical systems to model granular materials and image processing